

In re Application of: Lake et al.

Attention: Application Branch

Serial No.: 10/029,125

Date: April 1, 2002

Filed: December 28, 2001

Attorney Docket No.: KCX-487-B (17429-B)

Confirmation No.: 2801

Art Unit: 3653

Title: Rolled Web Products Having A Web Wound
In An Oscillating Fashion

Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
☐ This is a Rule 47 filing per attached Petition.
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
☐ Specification originally filed in non-English language; hence verified translation attached of:
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
☒ Attached is an assignment to Kimberly-Clark Worldwide, Inc.
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
_____, filed in _____ on _____, respectively.
Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: Verified Statement(s) establishing "small entity."
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
☒ Attached: Information Disclosure Statement and List with References
☐ Preliminary Amendment: _____

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

| | | |
|--|----|--------|
| If not earlier paid, add Basic Filing Fee | \$ | 740.00 |
| Total Effective Claims <u>22</u> - 20 = <u>2</u> x \$18.00 = | \$ | 36.00 |
| Independent Claims <u>3</u> - 3 = <u>0</u> x \$84.00 = | \$ | |
| If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00 | \$ | |
| Filing Fee | \$ | |

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of _____,
petition is hereby made for an extension to cover the date this response is filed for which the
requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).

| | | |
|--|----|---------------|
| If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130) | \$ | 130.00 |
| Subtotal | \$ | |
| If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u> | \$ | |
| Total = | \$ | |
| If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00) | \$ | |
| If "assignment" box is X'd, add recording fee (\$40.00). | \$ | 40.00 |
| If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h). | \$ | |
| TOTAL FEE ENCLOSED | \$ | 946.00 |

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Phone: 864-271-1592
Facsimile: 864-233-7342

DORITY & MANNING
ATTORNEYS AT LAW, P.A.By Atty: John E. Vick, Jr. Reg. No. 33,808Signature: [Signature]

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

April 1, 2002
Terry Ballew
(Typed or printed name of person mailing paper or fee)
Terry Ballew
(Signature of person mailing paper or fee)

PATENT

ATTORNEY DOCKET NO.: KCX-487-B(17429-B)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: Not known
Andrew M. Lake et al.)
Serial No.: 10/029,125) Examiner: Not known
Filed: December 28, 2001) Our Cust. No.: 22827
Title: Rolled Web Products Having A)
Web Wound In An Oscillating Fashion)

Commissioner of Patent and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

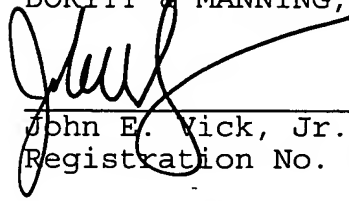
We are enclosing the attached documents as listed below:

- (1) Filing Completion Form
- (2) Fee Transmittal for FY 2002
- (3) Requested return copy of PTO Notice
- (4) Recordation Form Cover Sheet
- (5) Assignment
- (6) Declaration
- (7) Transmittal Letter for IDS
- (8) Information Disclosure Statement and List
- (9) References
- (10) Formal Drawings (10)

Please charge any additional fees required by this Amendment to
Deposit Account No. 04-1403.

Respectfully requested,

DORITY & MANNING, P.A.



John E. Vick, Jr.

Registration No. 33,808

DORITY & MANNING, P.A.

P.O. Box 1449

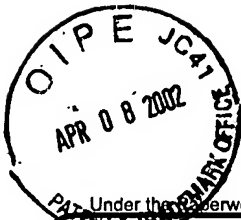
Greenville, SC 29602-1449

Phone: (864) 271-1592

Facsimile: (864) 233-7342

Date:

April 1, 2002



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 946.00

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | 10/029,125 |
| Filing Date | 12/28/2001 |
| First Named Inventor | Lake et al. |
| Examiner Name | Not known |
| Group Art Unit | 3653 |
| Attorney Docket No. | KCX-487-B (17429-B) |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1403
Deposit Account Name: Dority & Manning, Attorneys at Law, P.A.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | | | Utility filing fee | 740.00 |
| 106 | 330 | 206 | 165 | | | Design filing fee | |
| 107 | 510 | 207 | 255 | | | Plant filing fee | |
| 108 | 740 | 208 | 370 | | | Reissue filing fee | |
| 114 | 160 | 214 | 80 | | | Provisional filing fee | |

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 22 | -20** = 2 | 18.00 | 36.00 |
| 3 | -3** = 0 | | 0.00 |
| Multiple Dependent | | | 0.00 |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|----------|----------|--|
| 103 | 18 | 203 | 9 | | | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | | | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | | | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | | | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 36.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | | | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | | | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | | | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | | | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | | | Notice of Appeal | |
| 120 | 320 | 220 | 160 | | | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | | | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | | | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | | | Design issue fee | |
| 144 | 620 | 244 | 310 | | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | | | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 740 | 246 | 370 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

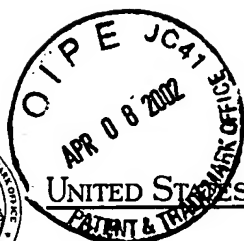
SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

| | | | | | |
|-------------------|-------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | John E. Viok, Jr. | Registration No. (Attorney/Agent) | 33,808 | Telephone | (864) 271-1592 |
| Signature | | Date | 04/01/2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/029,125 | 12/28/2001 | Andrew M. Lake | KCX-487B (17429B) |

CONFIRMATION NO. 2801

FORMALITIES LETTER



OC000000007395183

John E. Vick, Jr.
Dority & Manning
Attorneys at Law, P.A.
P.O. Box 1449
Greenville, SC 29602

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/09/2002 BABRAHA1 00000080 10029125

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 OP
02 FC:105 130.00 OP
03 FC:103 36.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 ■ \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

*A copy of this notice **MUST** be returned with the reply.*

H. Truong

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE